

QUARTERLY RISK REPORT 30 JUNE 2012 - TOP TEN RISKS - Continued

Overall ranking by residual score	Risk ID	Description	Risk Owner	Inherent Classification	Residual Classification	Controls	Status change since last quarter
1	28	Emergency Management unable to cope with major disaster	Rian van Schalkwyk	High Risk	High Risk	Wellington Region Civil Defence Emergency Plan Memo of understanding with other Councils	Greater Wellington employs 20 staff in the newly formed Wellington Region Emergency Management Office (WREMO). WREMO is responsible for delivering CDEM across the Wellington region and focus primarily on increasing community resilience through engagement,
2	74	Source water from rivers and/or aquifer reduced by external and unexpected effects such as climate change or SYM modelling development, resulting in breach of security of supply standard and the need to urgently bring forward new source development	Alastair McCarthy	High Risk	High Risk	Triennial Long Term Plan Capital development plan	Risk added in Q3 2012 to cover potential breach of security of supply standard
3	59	Customers are unhappy with Metro rail service performance because of the failure of rail network infrastructure	Kerry Saywell	High Risk	Medium Risk	GW to ensure that KiwiRail has a robust rail asset management plan that: <ul style="list-style-type: none"> <li>• Focuses funded renewal activities on critical components of the network</li> <li>• Provides for infrastructure monitoring and inspections</li> </ul> GW to ensure that KiwiRail has an emergency response plan that: <ul style="list-style-type: none"> <li>• Provides for efficient bus replacements</li> <li>• Provides for effective customer communications in the event of a failure</li> </ul> GW has a rail upgrade communications plan that informs the public about the upgrade programme and the reliability that can be expected	In the quarter GW has: <ul style="list-style-type: none"> <li>• Worked with KiwiRail to develop a new performance based Track Access Agreement. Agreed national common access terms. Held regular operations meetings with KiwiRail to ensure that planned disruptions are communicated with customers and that appropriate bus replacements are organised by KiwiRail.</li> <li>• Held regular network performance meetings with KiwiRail to review the monthly reports and monitor operational performance.</li> <li>• Funded the ongoing maintenance and renewal of the newwork infrastructure.</li> <li>• Worked with Kiwirail on their 2012/13 renewals plan.</li> <li>• Considered the network performance in the executive steering group meeting with KiwiRail.</li> </ul> No change in status
4	60	Customers are unhappy with Metro rail service performance because of the failure of rail rolling stock	Kerry Saywell	High Risk	Medium Risk	GW ensure that KiwiRail maintain high standards of rolling stock maintenance. GW ensure that KiwiRail have an emergency response plan that: <ul style="list-style-type: none"> <li>• Provides for efficient bus replacements</li> <li>• Provides for effective customer communications in the event of a failure</li> </ul> GW has a rail upgrade communications plan that: <ul style="list-style-type: none"> <li>• Informs the public about the upgrade programme and the reliability that can be expected</li> </ul> GW ensures that the Matangi project has strong project management and appropriate incentives are in place to ensure that the supplier delivers a quality product	In the quarter GW has: <ul style="list-style-type: none"> <li>• Held regular rolling stock maintenance meetings with KiwiRail to review the monthly reports and monitor fleet performance.</li> <li>• Held regular operations meetings with Kiwirail to ensure that service disruptions are communicated with customers and that appropriate bus replacements are organised by Kiwirail.</li> <li>• Funded the ongoing rolling stock maintenance and heavy maintenance work performed by KiwiRail. Strengthened our rolling stock engineering team so we can provide our own expertise and advice. Completed an asset condition assessment of rolling stock and draft asset management plans. Continued to actively manage the programme for the introduction of the new Matangi trains.</li> <li>• Worked with Kiwirail on their 2012/13 renewals plan. Continued work required to progress the Ganz Mavag refurbishment or replacement. Utilised the best condition Ganz Mavag units as more Matangi units came into service</li> <li>• Considered the rolling stock performance in the executive steering group meeting with KiwiRail. Retired the old English Electric fleet.</li> </ul> No change in status
5	71	Deterioration of the global financial position increases fiscal pressure on GW, impacting service delivery.	Bruce Simpson	Medium Risk	Medium Risk	Sound budget processes. Strong focus on managing business as usual costs.	No changes since last quarter

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6	36	Council making decisions without complying with the decision-making requirements of the LGA 2002 (or other legislation).	Francis Ryan	High Risk	Medium Risk	Internal review (Manager, Democratic Services) Training of Users (Manager, Democratic Services) Decision making Process & Guidelines	No changes since last quarter
7	19	Danger to staff and public from pest eradication	Davor Bejakovich	Medium Risk	Medium Risk	Department Hazard Registers Health & Safety Plan RPMS Operational Plans and Bovine Tb Vector Control Plans Bioworks Department Standard Operating Procedures	Staff adhere to industry best practice and GW SOPs for all risk activities. Departmental H&S plan is under ongoing review and each control operation site is analysed for any risks and hazards staff, contractors and/or public could face. All high risk SOPs are reviewed annually. Processes are in place to review incidents and accidents and implement meaningful corrective actions. Staff undergo stringent training and coaching until they achieve a high level of confidence in undertaking risk activities. We are continually looking for ways to improve the way we manage our control activities. Staff are issued with high quality personal protective equipment that is kept in good order (audited by senior staff) and up to date. We have very high expectations of our contractors delivering control operations on GW behalf. We have stringent contracting processes that ensures we select only contractors that have high standards of H&S awareness and systems in place, who comply with legislative requirements (up to date certification), provide quality output and have sufficient levels of insurance.
8	72	Harm to staff while carrying out Mangaroa/Kaitoke 1080 pest control operations	Davor Bejakovich	Medium Risk	Medium Risk	Bioworks Department Standard Operating Procedures AHB Aerial 1080 standard operating procedure	Biosecurity staff adhere to industry best practice - NPCA 1080 SOP for regional councils, AHB aerial 1080 SOP and GW 1080 aerial SOPs as well as with strict consent and approval requirements from the Medical Officer of Health, Department of Conservation and GW. Departmental H&S plan is under ongoing review and each control operation or control site is analysed for any risks and hazards staff, contractors and/or public could face. Staff undergo stringent training and coaching until they achieve a high level of confidence in undertaking aerial 1080 control operations and have proven themselves by successful 1080 aerial operations in the region since early 1990s. Stringent contract processes ensure we select only contractors with high standards of H&S awareness and systems in place, who comply with legislative requirements (and up to date certification), provide high quality output and have sufficient levels of insurance. Wanganui Aero Works, the company that applies bait for us, are experienced aerial operators with an impeccable track record.
9	75	Disruption to staff and business as usual due potential Council reorganisation	Leigh-Anne Buxton	Medium Risk	Medium Risk	Effective communication with staff will help lower the risk of negative impact	No change situation continues to be monitored
10	33	The Electoral Officer is required to re-run an election process	Margaret Meek	Medium Risk	Medium Risk	Training users Triennial memo of understanding on conducting Elections from other Councils Insurance for Election re-run is available	Risk is not current but an election is scheduled for next year